# RSFT functors for strong cobordisms and finite algebraic torsion 

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## Computation of RSFT invariants

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(1) Computing directly, requiring knowledge of holomorphic curves, e.g. many examples in Agustin's talk.
(2) Using (functorial) properties, e.g. APT, P are functors from $\mathfrak{C o n}$ to $\mathbb{N} \cup\{\infty\}$.

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Two more functorial properties in this talk:
(1) Behavior of APT, P in strong cobordisms.
(2) Curves with constraints in exact cobordisms, typically cobordisms from contact $(+1)$ surgeries.

## Main theorems-strong cobordisms

Theorem (Moreno-Z. '23)
Let $W$ be a strong cobordism from $Y_{-}$to $Y_{+}$, if APT $\left(Y_{+}\right)<\infty$, then $\operatorname{APT}\left(Y_{-}\right)<\infty$.

## Remark

The vanishing of the contact Ozsváth-Szabó invariant behaves in a similar way.

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## Remark

The analogous statement for P is not so neat and will appear later.

## Main theorems-surgery cobordisms

Theorem (Z. 23)
Let $Y:=\partial(V \times \mathbb{D})$ and $\wedge$ a Legendrian sphere, if
$[\Lambda] \neq 0 \in H_{*}(V \times \mathbb{D} ; \mathbb{Q})$, then $Y_{\Lambda^{+}}$obtained from a contact $(+1)$ surgery along $\wedge$ has vanishing contact homology.

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$[\Lambda] \neq 0 \in H_{*}(V \times \mathbb{D} ; \mathbb{Q})$, then $Y_{\Lambda+}$ obtained from a contact (+1) surgery along $\wedge$ has vanishing contact homology.

## Corollary

Let $S \subset V$ be a Lagrangian sphere such that $[S] \neq 0 \in H_{*}(V ; \mathbb{Q})$, then $\mathrm{OB}\left(V, \phi_{\mathrm{DS}}^{-1}\right)$ has vanishing contact homology, where $\phi_{\mathrm{DS}}$ is a Dehn-Seidel twist.

## Corollary (Bourgeois and van Koert)

Overtwisted contact manifolds have vanishing contact homology.

## Main theorems-the combination

Latschev and Wendl found contact 3-folds with algebraic torsion $k$ for any $k$ using planar torsion, and conjectured about the higher dimensional case.

Theorem (Z. in progress)
For any $k \in \mathbb{N}$, there exist spinal open books with a planar vertebra in any dimension $\geqslant 5$, such that the algebraic planar torsion is $k$. Same for algebraic torsion if dim $\geqslant 7$ assuming the foundation of full SFT as $I B L_{\infty}$ algebra is established to the level of current contact homology.

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## Remark

Our curve mechanism is different, as our curves are from the vertebra/spine, while curves in planar torsion are from "pages".

## Functoriality in strong cobordisms

## Strong cobordism

- $Y$ is Weinstein cobordant to an OT 3-fold, then $Y$ is OT (Colin, Wand);
- If $Y$ has planar torsion, then $Y$ is strongly cobordant to an OT 3-fold (Wendl).
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- APT, AT are not functorial for strong cobordisms.

The usual functoriality fails:


## Maurer-Cartan elements of $B L_{\infty}$ algebras

## Definition (MC elements)

$\mathfrak{m c} \in \overline{S V}$ of degree 0 , s.t. $\hat{p}\left(e^{\mathfrak{m c}}\right)=0$, where $e^{\mathfrak{m c}}=\sum_{i=1}^{\infty} \frac{\odot^{\prime} \mathfrak{m} \mathfrak{c}}{!!} \in \overline{E V}$.

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Counting rational holomorphic curves without positive punctures in a strong cobordism $W \Rightarrow$ a MC element $\mathfrak{m c}_{W}$.

## Deformation by MC elements

Given a MC element $\mathfrak{m c}$,

$$
p_{\mathfrak{m c}}^{k, l}\left(v_{1} \ldots v_{k}\right):=\pi_{1, l} \circ \hat{p}\left(v_{1} \odot \ldots \odot v_{k} \odot e^{\mathfrak{m c}}\right)
$$



A component of $p_{\mathfrak{m} \mathfrak{c}}^{1,7}$

## Functoriality in strong cobordisms

- Given a strong cobordism $W$ from $Y_{-}$to $Y_{-}$, rational curves in $W \Rightarrow a B L_{\infty}$ morphism from $\left(V_{+}, p_{+}\right)$to $\left(V_{-}, p_{-, \mathfrak{m c}}\right)$.

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- id $\odot e^{\mathfrak{m c} w}$ is a chain map from $\left(\overline{E V_{-}}, \hat{p}_{-, \mathfrak{m c}}\right)$ to $\left(\overline{E V_{-}}, \hat{p}_{-}\right)$.

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\operatorname{APT}\left(V_{-}, p_{-, \mathfrak{m c}}\right)<+\infty \Rightarrow 1=0 \in H^{*}\left(\overline{E V_{-}}, \widehat{p}_{-}\right), \text {i.e. } 1=\widehat{p}_{-}(v)
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- Assign $q_{\gamma}$ with weight $\int \gamma^{*} \alpha$ and $T$ with weight 1 , then $\hat{p}$ preserves the weight, hence $\hat{p}_{-}\left(v_{0}\right)=1$, where $v_{0}$ is the weight 0 part of $v$.


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- $\inf \left\{\int \gamma^{*} \alpha\right\}>0 \Rightarrow v_{0} \in E^{k} V$, i.e.

$$
\operatorname{APT}\left(V_{+}, p_{+}\right)<+\infty \Rightarrow \operatorname{APT}\left(V_{-}, p_{-}\right)<+\infty
$$

## The case for $P$

Theorem (Moreno-Z. 23)
$\mathrm{P}\left(Y^{\prime}\right)<\infty$ s.t. contributing curves "do not depend on augmentations" (e.g. examples in Agustin's talk). If $\exists$ strong cobordism from $Y$ to $Y^{\prime}$,

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## Corollary

For $g \geqslant 1, d>2 g-2$, the prequantization bundle over $\Sigma_{g}$ with degree -d is not cofillable and has no strong cobordism to ( $\left.S^{3}, \xi_{\text {std }}\right)$.

## Producing algebraic overtwisted contact manifolds

## Overtwisted contact sphere

- $\phi_{\mathrm{DS}} \in \pi_{0}\left(\operatorname{Symp}_{\mathrm{c}}\left(D^{*} S^{n}\right)\right)$ : the Dehn-Seidel twist;
- $\left(S^{2 n+1}, \xi_{\mathrm{ot}}\right)=\mathrm{OB}\left(D^{*} S^{n}, \phi_{\mathrm{DS}}^{-1}\right)$ : the homotopically standard OT sphere.


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Bourgeois and van Koert showed that, with a suitable contact form and a.c.s., there is a simple Reeb orbit $\gamma$ winding around the binding once, such that

$$
\partial_{\mathrm{CH}}\left(q_{\gamma}\right)=1
$$

where the holomorphic disk is a "lift" of the natural disk in the binding region.
Via contact connected sum,

$$
\mathrm{CH}\left(S^{2 n+1}, \xi_{\mathrm{ot}}\right)=0 \Rightarrow \mathrm{CH}\left(Y_{\mathrm{ot}}\right)=0
$$

## Contact (+1) surgeries

- $\sum\left(-x_{i} \partial_{x_{i}}+2 y_{i} \partial_{y_{i}}\right)$ is a Liouville v.f. on $\left(D_{x}^{n} \times D_{y}^{n}, \sum \mathrm{~d} x_{i} \wedge \mathrm{~d} y_{i}\right)$;



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- Both $S_{x}^{n-1} \times\{0\}$ and $\{0\} \times S_{y}^{n-1}$ are Legendrians bounding Lagrangian disks $D_{x}^{n} \times\{0\}$ and $\{0\} \times D_{y}^{n}$;
- Gluing a nbhd of a Legendrian sphere $\Lambda$ with a nbhd of $S_{x}^{n-1} \times\{0\},\{0\} \times S_{y}^{n-1}$ is called a $-1 /+1$ contact surgery, the new contact boundary is $Y_{\Lambda^{-}} / Y_{\Lambda^{+}}$.


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Fact: $\left(S^{2 n+1}, \xi_{\text {ot }}\right)$ is obtained from applying a $(+1)$ surgery to $\partial\left(D^{*} S^{n} \times \mathbb{D}\right)=\mathrm{OB}\left(D^{*} S^{n}\right.$, id $)$ along the Legendrian lift of the zero section $S^{n} \subset D^{*} S^{n}$.
$-1 /+1$ surgeries $\Leftrightarrow$ adding positive/negative twists.

## No fillings from symplectic cohomology

- Symplectic fillings of $Y:=\partial(V \times \mathbb{D})$ have strong unique properties (Eliashberg-Floer-McDuff,Oancea-Viterbo,Barth-GeigesZehmisch,Z.);
- For any strong filling $W$ of $Y, \exists x \in S H_{+}^{*}(W)$ such that $\delta_{\partial}(x)=\alpha$, where $\alpha \in H^{*}(V \times \mathbb{D}) \rightarrow H^{*}(Y)$;

$$
\begin{aligned}
& S H_{+}^{*}(W) \xrightarrow{\delta_{\partial}} H^{*+1}(Y) \\
& \downarrow \\
& H^{*+1}(W)
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- $\Rightarrow\left(S^{2 n+1}, \xi_{\mathrm{ot}}\right)$ has no strong filling.


## From the obstructing curve to the vanishing of CH

The "uniqueness" of filling is from
(1) Any closed submanifold $S \subset Y$ such that $\langle\alpha,[S]\rangle \neq 0$, there exist solutions to

$$
u: \mathbb{C} \rightarrow \mathbb{R} \times Y, \quad \partial_{s} u+J\left(\partial_{t} u-X_{H}\right)=0, \quad \lim _{s \rightarrow \infty} u=x, u(0) \in\{0\} \times S .
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(2) Non-existence of various curves with negative punctures.

- $W_{\Lambda}$ : the surgery cobordism from $Y_{\Lambda^{+}}$to $Y$;
- $L$ : the Lagrangian disk filling of $\Lambda$ in $W_{\wedge}$;

By considering holomorphic curves in $W_{\wedge}$ with a point constraint on $L$ and negative punctures, we have

Theorem (Z. 23)
Assume $\wedge$ a Legendrian sphere such that [ $\wedge$ ] does not vanish in $H_{*}(V \times \mathbb{D} ; \mathbb{Q})$, then $\mathrm{CH}\left(Y_{\Lambda^{+}}\right)=0$.

## Functorial explanation

Motivated by the work of Bourgeois and Oancea,

- try to define $\mathrm{SH}_{+}$for a contact manifold, the compactification of

$$
\left\{u: \mathbb{R} \times S^{1} \rightarrow \hat{Y}, \quad \partial_{s} u+J\left(\partial_{t} u-X_{H}\right)=0, \quad \lim _{s \rightarrow \pm \infty} u=x / y\right\} / \mathbb{R}
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has SFT buildings on the lower level.

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- The count of Floer cylinders with negative punctures defines a $\mathrm{CC}_{*}(Y)$-DGA-module on $C_{+}^{-*}(H) \otimes \mathrm{CC}_{*}(Y)$.
- The count of Floer cylinders with constraint in $\{0\} \times Y$ with negative punctures defines a DGA-module map

$$
C_{+}^{-*}(H) \otimes \mathrm{CC}_{*}(Y) \rightarrow C^{-*+1}(Y) \otimes \mathrm{CC}_{*}(Y)
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Given an exact cobordism $W$ from $Y_{-}$to $Y_{+}$, by considering holomorphic curves in $\widehat{W}$ with constraints, we get a DGA-module map

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C_{+}^{-*}(H) \otimes \mathrm{CC}_{*}\left(Y_{+}\right) \rightarrow C^{-*+1}\left(W, Y_{-}\right) \otimes \mathrm{CC}_{*}\left(Y_{-}\right)
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is commutative on homology.

For $Y_{+}=\partial(V \times \mathbb{D}), \exists x \in C_{+}^{-*}(H)$ such that $x \otimes 1$ is mapped to $\alpha \otimes 1$, for $\alpha \in \operatorname{Im}\left(H^{*}(V \times \mathbb{D}) \rightarrow H^{*}\left(Y_{+}\right)\right)$.
$\Rightarrow \mathrm{CH}\left(Y_{\Lambda^{+}}\right)=0$, as $\alpha \notin \operatorname{Im}\left(H^{*}\left(W_{\Lambda}, Y_{\Lambda^{+}}\right) \rightarrow H^{*}(Y)\right)$ for the surgery cobordism $W_{\wedge}$

## Producing algebraic (planar) torsions

## Spinal open books

- Open book $\mathrm{OB}(V, \phi)=(\partial V \times \mathbb{D}) \cup V_{\phi} \xrightarrow[\sim]{\mathbb{D} \rightarrow \boldsymbol{\Sigma}}$ spinal open books (Lisi, Van Horn-Morris, Wendl);
- spine region: $\partial V \times \Sigma$,
- paper region: $\cup V_{\phi}$.


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- spine region: $\partial V \times \Sigma$,
- paper region: $\cup V_{\phi}$.
- $\operatorname{SOB}\left(V, \phi_{1}, \ldots, \phi_{k}\right)$ is the spinal OB with page $V$, vertebra $S^{2}$ with $k$ disks removed $\left(=\Sigma_{0, k}\right), \phi_{1}, \ldots, \phi_{k}$ are the monodromy, i.e.

$$
\operatorname{SOB}\left(V, \phi_{1}, \ldots, \phi_{k}\right)=\left(\partial V t \times \Sigma_{0, k}\right) \cup_{i=1}^{k} V_{\phi_{i}}
$$

It has a natural map to $\Sigma_{0, k}$.

## Theorem (Z. in progress)

Let $V$ be the Brieskorn variety $z_{0}^{a_{0}}+\ldots+z_{n}^{a_{n}}=1$ for $a_{i} \gg 0$, then APT and $\mathrm{AT}(\operatorname{dim} \geqslant 7)$ of $\operatorname{SOB}\left(V, \phi_{1}, \ldots, \phi_{k}\right)$ are $k-1$, where $\phi_{i}$ are products of negative $D S$ twists with at least one non-trivial.

## Lower bound for torsion

Reeb dynamics on $\operatorname{SOB}\left(V, \phi_{1}, \ldots, \phi_{k}\right)$ :

- Reeb orbits in the paper region, non-trivial homology class (after mapping to $\Sigma_{0, k}$ ).


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Then by virtual dimension computation and homology classes, we have

$$
\mathrm{APT}, \mathrm{AT} \geqslant k-1 .
$$

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- Capping off using $V \times \mathbb{D}$ (e.g. the symplectic embedding of $\left.V \times T^{*} S^{1} \subset V \times \mathbb{D}\right)$.
We can get a strong cobordism from $\operatorname{SOB}\left(V, \phi_{1}, \ldots, \phi_{k}\right)$ to $\mathrm{OB}\left(V, \phi_{\mathrm{DS}}^{-1}\right)$, which has $k-1$ copies $V$ as symplectic hypersurfaces which make the cobordism non-exact.


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We can get a strong cobordism from $\operatorname{SOB}\left(V, \phi_{1}, \ldots, \phi_{k}\right)$ to $\mathrm{OB}\left(V, \phi_{\mathrm{DS}}^{-1}\right)$, which has $k-1$ copies $V$ as symplectic hypersurfaces which make the cobordism non-exact. By the first two theorems,


## APT is finite.

## Remark

This also produces tight not weakly fillable contact manifolds in dimension $\geqslant 5$.

## Upper bound for torsion

To get a precise bound:

- If the vanishing of contact homology for $\mathrm{OB}\left(V, \phi_{\mathrm{DS}}^{-1}\right)$ comes from holomorphic curves intersecting the binding at most once, since Maurer-Cartan elements have positive intersections with the $k-1$ hypersurfaces, one can conclude that APT $\leqslant k-1$ (This is the case for $\left.\left(S^{2 n+1}, \xi_{\text {ot }}\right)\right)$.


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- $\mathrm{APT}=k-1$ follows from combining the (+1)-surgery cobordism and the strong cobordism, i.e. looking at holomorphic curves in the strong cobordism from $\operatorname{SOB}\left(V, \phi_{1}, \ldots, \phi_{k}\right)$ to $\mathrm{OB}(V, \mathrm{id})$ with a constraint on the Lagrangian disk in the $(+1)$-surgery cobordism.
- Similar arguments apply to AT.

Thank you!

